

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
07/460,292	12/10/99	MANGELSDORF	D UTSD: 596

0262/0202

ARNOLD WHITE & DURKEE
750 BERING DRIVE
HOUSTON TX 77057-2198

NOT ASSIGNED

1615

DATE MAILED:

02/02/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 260.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 260 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 684 for 38 total claims over 20.

\$ 702 for 7 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

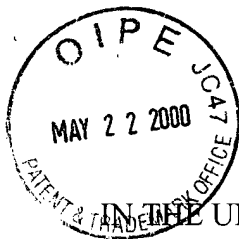
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

HARRISON T-G

Customer Service Center
Initial Patent Examination Division (703) 308-1202

05/24/2000 00000100 501212 09460292
01 FC:202 151.00 OP
02 FC:203 142.00 OP
03 FC:205 165.00 OP
04 FC:201 345.00 OP
35.00 CH



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David J. Mangelsdorf
Joyce J. Repa
Stephen D. Turley
John M. Dietschy

Group Art Unit: 1615

Examiner: Unknown

Atty. Dkt. No.: UTSD:596/HYL

Serial No.: 09/460,292

Filed: December 10, 1999

For: COMPOSITIONS AND METHODS OF
MODULATING CHOLESTEROL
METABOLISM

**CERTIFICATE OF MAILING
37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

May 17, 2000

Date

Steven L. Highlander

RESPONSE TO 37 C.F.R. § 1.53(f) NOTICE

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f), dated February 2, 2000, there are enclosed herewith:

- (a) Declaration executed on behalf of David J. Mangelsdorf, Joyce J. Repa, Stephen D. Turley and John M. Dietschy;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;
- (c) A Declaration Claiming Small Entity Status executed on behalf of Board of Regents, The University of Texas System;

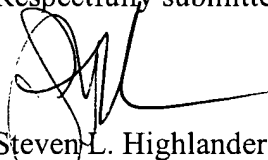
- (d) Our check in the amount of \$1293.00 to cover the basic filing fee (\$345 - utility small entity) ; surcharge for late filing (\$65.00); additional claims fee (\$693.00); two month extension of time fee (\$190.00);
 - (e) A copy of Notice to File Missing Parts of Application-Filing Date Granted; and
 - (f) A Request for Extension of Time of two months to and including June 2, 2000.
- Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to Board of Regents, The University of Texas System and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No. 50-1212/UTSD:596/HYL.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Steven L. Highlander
Reg. No. 37,642
Attorney for Applicants

FULBRIGHT & JAWORSKI, L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 418-3000

Date: May 17, 2000

FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP

600 CONGRESS AVENUE, SUITE 2400

AUSTIN, TEXAS 78701

TELEPHONE: 512/418-3000
FACSIMILE: 512/474-7577

STEVEN L. HIGHLANDER
PARTNER

INTERNET ADDRESS:
SHIGHLANDER@FULBRIGHT.COM

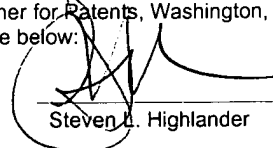
DIRECT DIAL: 512/418-3184



May 17, 2000

FILE: UTSD:596

HOUSTON
WASHINGTON, D.C.
AUSTIN
SAN ANTONIO
DALLAS
NEW YORK
LOS ANGELES
MINNEAPOLIS
LONDON
HONG KONG

CERTIFICATE OF MAILING 37 C.F.R 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date below:	
May 17, 2000	
Date	Steven L. Highlander

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

RE: *SN 09/460,292 "COMPOSITIONS AND METHODS OF MODULATING
CHOLESTEROL METABOLISM" - David J. Mangelsdorf et al.*

Sir:

Please find enclosed:

- (1) A Response Under 37 C.F.R. § 1.53(f) to Notice to File Missing Parts mailed February 2, 2000;
- (2) Declaration executed by David J. Mangelsdorf, Joyce J. Repa, Stephen D. Turley and John M. Dietschy;
- (3) Small Entity Declaration;
- (4) Power of Attorney;
- (5) Request for Extension of Time;
- (6) Copy of Notice to File Missing Parts of Application-Filing Date Granted;
- (7) Check in the amount of \$1293.00; and

Assistant Commissioner for Patents

May 17, 2000

Page 2

- (8) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No. 50-1212/UTSD:596/HYL.

Respectfully submitted,



Steven L. Highlander
Reg. No. 37,642

HYL/mar

Encl.: as noted